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Statement Linking Application

FirstClearing, LLC

Sub Firm #	BR Code	FA Code	Account Number

PROGRAM DESCRIPTION

The Statement Linking Program (the "Program"), allows clients in the same household (a common postal address) to elect to receive some or all of your eligible First Clearing monthly account statements in one envelope along with a consolidated summary statement which will be addressed and mailed to the Primary Account.

TERMS & CONDITIONS

- A common postal address is required in order to link accounts.
- Monthly statements for linked accounts will be delivered in one package to the postal address of the "Primary Account" or may be accessed online with e-mail notification sent by electronic delivery (if enrolled for such delivery).
- Delivery of electronic notification by email will alert anyone with online access to any of the linked accounts and will allow all clients of the linked accounts to view the accounts within the respective client's profile. The primary account may view monthly statements of all linked accounts.
- Linked accounts that are set up for electronic delivery will not receive paper statements by mail.
- All accounts enrolled in the Program shall remain linked until (i) a client requests to de-link an account, or (ii) the mailing address of one or more linked accounts is changed.
- All clients for each of the accounts to be linked must agree to the terms and conditions of the Statement Linking Application.
- One consolidated summary statement (containing General Instructions and disclosures and Specific Instructions and disclosures) will be delivered to the common postal address, addressed to the Primary Account. All Clients of Linked Accounts that are set up for electronic delivery may access the General Instructions and disclosures and Specific Instructions and disclosures.

PRIMARY ACCOUNT INFORMATION ***(designate the primary account)***

This account will serve as the main information source for statement linking and householding (the "Primary Account"). All linked statements and disclosures will be mailed to the Primary Account address on file with First Clearing or made accessible online with an e-mail notification sent by electronic delivery (if enrolled for such delivery).

Primary Account No.	Account Title

LINKED ACCOUNT INFORMATION ***(designate one or more linked accounts)***

	Account Number	Account Title
1		
2		
3		
4		
5		
6		

CLIENT AUTHORIZATION SIGNATURES ***(If Client's instructions are verbal, skip to next section)***

By signing below, you agree to the Terms and Conditions and understand and agree that the financial information and disclosures provided in the Statements for all Linked Accounts may be viewed by any and all clients to any of the linked accounts.

Signature 1 X	Print Name	Date
Signature 2 X	Print Name	Date
Signature 3 X	Print Name	Date

OFFICE USE ONLY - DOCUMENTATION OF CLIENT'S VERBAL INSTRUCTIONS

Name of Authorized Account Holder	Account holder(s) personally known to me; or	Account holder(s) confirmed by two pieces of identifying information (e.g.: SSN, DOB, recent account activity, home phone number).
	☐	☐ 1. 2.
	☐	☐ 1. 2.
	☐	☐ 1. 2.

ASSOCIATE SIGNATURE

The undersigned certifies that the account holder(s) authorized the terms and conditions of the Statement Linking Program as indicated above. If the accountholder(s) verbally authorized these instructions, their identity was verified by one of the methods documented above.

Associate's Signature	Associate's Name	Date

Accounts carried by First Clearing, LLC, member NYSE/SIPC

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